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GREENBERG TRAURIG, LLP RECEIVED

ATTORNEYS AT LAW

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2375 EAST CAMELBACK ROAD

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PHOENIX, ARIZONA 85016 (602) 445-8000

AZ CORP COMMISSION DOCKET CONTROL

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Brian J. Schulman (SBN 015286)

Attorney for Citigroup Global Markets, Inc.

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BEFORE THE ARIZONA CORPORATION COMMISSION

7 **COMMISSIONERS**

KRISTIN K. MAYES, Chairman

GARY PIERCE 9

PAUL NEWMAN

SANDRA D. KENNEDY

BOB STUMP

Arizona Corporation Commission DOCKETED

MAR 2 5 2009

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In the matter of

CITIGROUP GLOBAL MARKETS, INC.,

388 Greenwich Street

15 New York, NY 10013

Respondent.

DOCKET NO. S-03538A-09-0096

MOTION AND CONSENT OF LOCAL COUNSEL FOR TEMPORARY PRO HAC VICE ADMISSION OF JUANITA A. CROWLEY

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Undersigned counsel, pursuant to Rule 38 of the Rules of the Arizona Supreme Court, moves the Commission, for good cause shown, to temporarily admit Juanita A. Crowley as counsel pro hac vice in this action. Ms. Crowley desires temporary admission pro hac vice to appear on behalf of her client, CitiGroup Global Markets, Inc. at the open meeting before the Arizona Corporation Commission, scheduled for April 14, 2009. Due to unforeseen circumstances, it is necessary for Ms. Crowley to obtain pro hac vice admission on short notice.

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In support of this motion, the following exhibits are attached hereto:

1. the original Verified Application for Appearance pro hac vice;

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3. the State Bar of Arizona Notice of Receipt. 2 Undersigned counsel, Brian J. Schulman, is currently admitted, practices, and is a 3 4 member in good standing with the Arizona Bar, and agrees to serve as designated local counsel for the subject case. 5 DATED this 24th day of March, 2009. 6 7 8 Brian J. Schulman Attorney for CitiGroup Global Markets, Inc. 9 10 ORIGINAL and 13 copies of the foregoing filed this 24th day of March, 2009, with: 11 12 **Arizona Corporation Commission** Docket Control Center 13 1200 West Washington Phoenix, AZ 85007-2996 14 COPY of the foregoing hand-delivered this 24th day of March, 2009 to: 15 16 Matthew J. Neubert, Director 17 Securities Division **Arizona Corporation Commission** 18 1300 W. Washington, Third Floor Phoenix, AZ 85007 19 20 Lyn Farmer Arizona Corporation Commission 21 1300 W. Washington, Third Floor Phoenix, AZ 85007 22 23 24 25

2.

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the original Certificate of Good Standing; and

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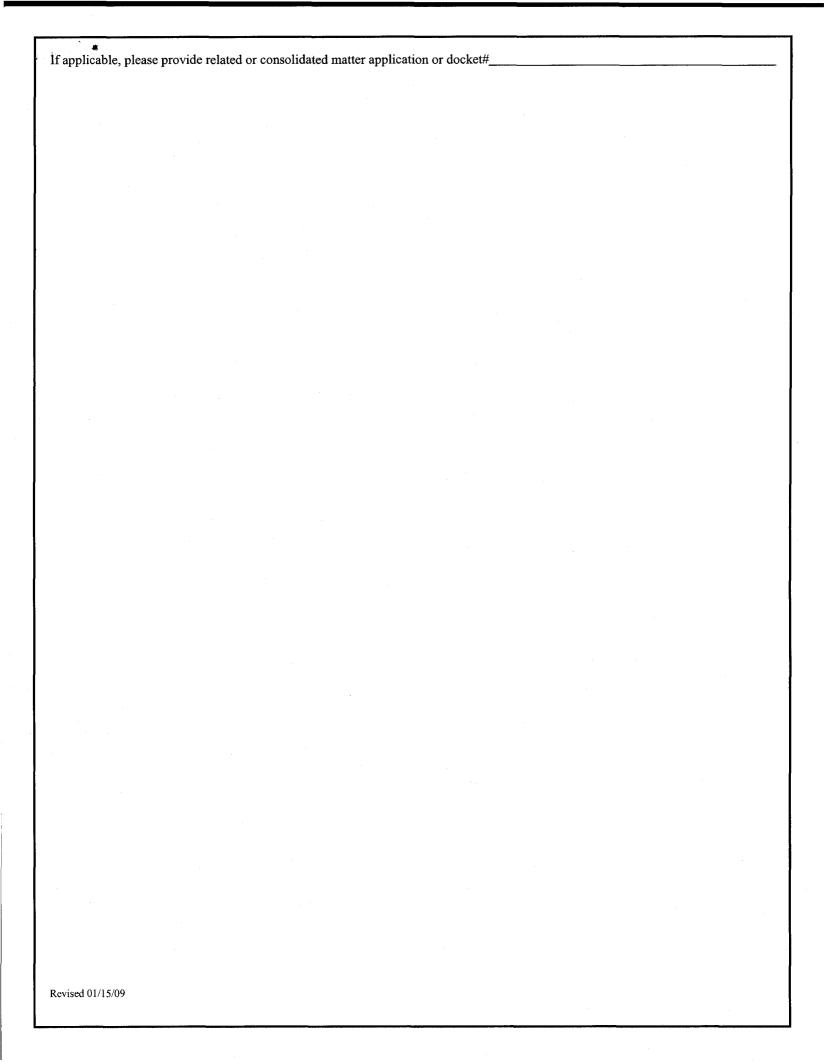
EXHIBIT 1



Attn: Pro Hac Vice Dept PO Box 53099 Phoenix, AZ 85072-3099 Phone: 602-340-7239

RECEIVED

Ap	plication for Appeara	ance Pro Hac Vice	1115
PART I: Applicant Information Name of Applicant: Juanita A. Crowley			MAR 1 1 2009
		D	State Bar of Arizona
Firm/Company Name: Wilmer Cutler Picker	ring Hale and Dorr LLP	- Re	source Center Department
Office Address: 1875 Pennsylvania Avenue.	N.W. Washington, D.C. 2		
Telephone: <u>202-663-6207</u>	Fax: <u>202-663-6363</u>	Email Address: Juanita.	Crowley@Wilmerhale.com
Residence Address: 1635 Myrtle Street, N.V	V., Washington, D.C. 2001	2	
Title of cause or case where applicant seeks	to appear: Order to Cease a	and Desist, Order for Adm	ninistrative Penalties, and Consent to
Same By Respondent Citigroup Global Mar	kets, Inc.		
Docket Number: <u>S-03538A-09-0096</u>		_	
Court, Board, or Administrative Agency: Ar	izona Corporations Commi	ssion	
Party on whose behalf applicant seeks to app	ear: Citigroup Global Mar	kets Inc.	
Pursuant to Arizona Supreme	Court Rule 38(i)(3), the	applicant shall complete	the information below:
Courts to Which Applicant Has Been Admit	ted:	Date of Admission:	Bar Number:
(Attach additional pages if needed) District of Columbia		12/14/1977	939371
✓ Applicant is a member in good standing i			
Applicant is a number in good standing i	n sach courts.		
Applicant is not currently disbarred or su	spended in any court.		
Applicant ☐is / ☐is not (select one) curre		disciplinary proceeding of	or investigation by any court, agency
or organization authorized to discipline attor	neys at law.		
In the preceding three (3) years, applicant ha	s filed applications to appe	ear as counsel under AZ S	T S.Ct., Rule 38(a) in the following:
Title of Matter:	Docket #:	Court or Agency:	App Granted? (Y/N)
N/A		-	
	·		
This case or cause is / is not (select on pro hac vice in Arizona. If this matter is a re	e) a related or consolidated	matter for which applican	nt has previously applied to appear
review and comply with appropriate rules of			MAR 1 0 2009
Revised 01/15/09	- -		11 "610052 ty



Page 2		
PART II: Local Counsel Information Name of Arizona Local Counsel: Brian J. S.	chulman, Greenberg Traurig, LLP	
State Bar of Arizona Number: 015286		
Address: Suite 700, 2375 East Camelback R	oad, Phoenix, AZ 85016	
Telephone: 602-445-8000	Fax: 602-445-8100	Email Address: schulmanb@gtlaw.com
✓ Local Counsel is a member in good stand	ding.	
Local Counsel associating with a nonres attorney to the client, to opposing partie	ident attorney in a particular cause shall acc s and counsel, and to court, board, or admin	ept joint responsibility with the nonresident istrative agency in that particular cause.
PART III: Parties and Certification Name(s) of each party in this cause and name	ne and address of all counsel of record:	
Party:	Counsel of Record:	Address:
Citigroup Global Markets Inc.	Junita A. Crowley, Wilmer	1875 Pennsylvania Ave N.W.
	Cutler Pickering Hale and Dorr LLP	Washington, D.C. 20006
		<u> </u>
\$460.00. Fifteen percent of the non-refun services fund to be distributed by the An organizations, as that term is defined in some Applicant is furnishing a certificate from insular possession of the United States in nonresident attorney's date of admission eligibility to practice therein. The certificant certifies the following: 1. Applicant shall be subject to the junction with respect to the law of this state Bar of Arizona, as provided in Rul 2. Applicant will review and comply	dable application fee paid pursuant to this serizona Foundation for Legal Services and Edubparagraph (f) of this rule. the state bar or from the clerk of the highest in which the nonresident attorney has been a to such jurisdiction and the current status atte furnished shall be no more than forty-fiverisdiction of the courts and agencies of the states.	t admitting court of each state, territory, or admitted to practice law certifying the of the nonresident attorney's membership or the (45) days old. State of Arizona and to the State Bar of Arizona ame extent as an active member of the State ired in the underlying cause.
\bigcap I	Verification	
STATE OF Detroit of Col	embia)	
County of) ss.	
I, Juanta A. Crowler best of my knowledge and belief.	, swear that all statements in the	pplication are true, correct and complete to the
Dated: March 9 2009	Applicant's Signatur	Colle
SUBSCRIBED AND SWORN TO before r	ne this 9th day of March, 200	<u>7</u> , by
TUANTA A CROWLEY		
Name of Applicant		Solowa (). Wel bearns
Revised 01/15/09	4 (NOTARY PUBLIC DISTRICT OF COLUMBIA My Commission Expires April 14, 2009

Notary Public Revised 01/15/09

EXHIBIT 2



District of Columbia Court of Appeals Committee on Admissions 500 Indiana Avenue, N.M. — Room 4200 Washington, D. C. 20001 202 / 879-2710

I, GARLAND PINKSTON, JR., Clerk of the District of Columbia Court of Appeals, do hereby certify that

JUANITA A. CROWLEY

was o	n the	14 TH	day of	DECEM	BER, 1977			
	,				attorney	and	counselor	and
entit	led to	practi	ce before	this	Court and	is,	on the	date
indic	ated be	low, an	active mer	mber in	good stan	ding	of this B	ar.

In Testimony Whereof, I have hereunto subscribed my name and affixed the seal of this Court at the City of Washington, D.C., on March 5, 2009.

GARLAND PINKSTON, JR., CLERK

By: M. Charles
Deputy Clerk

EXHIBIT 3

Superior Court ARIZONA CORPORATION COMMISSION

ARIZONA CORPO	RATION COMM	1188101		
In the Matter of Order of Cease and Desist, Order for Administrative Penalties, and Consent to Same by Respondent Citigroup Global Markets, Inc.)) SBA App †))) NOTICE	-03538A-09-009 # 1004543 OF RECEIPT ETE APPLICA	OF	
NOTICE IS HEREBY given by THE received the verified application and fee from In addition to this application, application pro hac vice, pursuant to Rule38 (a), within the second	n Juanita Crowley ant has made the f	<u>.</u> Ollowing applic		appear
Title of Matter	Court/Agency	Date	Gran	ted?
	***************************************		Yes Yes	No No
Exhibit A, the original verified applic Good Standing are attached hereto.	ation and Exhibit	B, the original C	Certificate	(s) of
DATED this 20 th day of March 2009	Res	piola Perez source Center te Bar of Arizon	<i>Pur</i>	Y
Original Mailed on this 20 th day of March 20	09 to:			